## Self-adjoint Jacobi operators possessing generalized eigenvectors with the strongly increasing phase sequence: do they exist?

Marcin Moszyński\*

Let us consider the right-side infinite Jacobi matrix

$$\left(\begin{array}{cccccccccc}
q_1 & w_1 & & & \\
w_1 & q_2 & w_2 & & \\
& w_2 & q_3 & w_3 & \\
& & & w_3 & q_4 & \ddots \\
& & & & \ddots & \ddots & \\
& & & & \ddots & \ddots & \end{array}\right)$$

determined by real sequences  $\{q_n\}_{n\geq 1}$ ,  $\{w_n\}_{n\geq 1}$ ,  $w_n \neq 0$ , and the Jacobi operator J in the Hilbert space  $\ell^2(\mathbb{N})$  of square-summable complex sequences on  $\mathbb{N} := \{1, 2, \ldots\}$ . J is the restriction of the formal Jacobi operator  $\mathcal{J}$  to the domain

$$D(J) := \{ u \in \ell^2(\mathbb{N}) : \mathcal{J}u \in \ell^2(\mathbb{N}) \},\$$

where  $\mathcal{J}$  acts in the vector space  $\ell(\mathbb{N})$  of all complex sequences on  $\mathbb{N}$ , and it is given by

$$(\mathcal{J}u)(n) := w_{n-1}u(n-1) + q_nu(n) + w_nu(n+1), \quad n \in \mathbb{N},$$
(0.1)

for any  $u = \{u(n)\}_{n>1} \in \ell(\mathbb{N}).$ 

For  $\lambda \in \mathbb{C}$  we consider generalized eigenvectors of J for  $\lambda$ , i. e., such  $u = \{u(n)\}_{n>1} \in \ell(\mathbb{N})$  that

$$((\mathcal{J} - \lambda)u)(n) = 0, \quad n \ge 2.$$

$$(0.2)$$

By  $Sol(\lambda)$  we denote the linear space of all the generalized eigenvectors of J for  $\lambda$ , so dim  $Sol(\lambda) = 2$ .

Various forms of asymptotics for two linearly independent generalized eigenvectors have been found through some asymptotic tricks for difference equations, see e. g.[1, 3, 4, 5, 6, 7, 8, 9, 12, 13, 14, 15]. For many of the described Jacobi operators, for  $\lambda$ -s from some non-empty open intervals the authors proved the existence of two linearly independent vectors  $u_+, u_-$  from Sol( $\lambda$ ), having the general form

$$u_{\pm}(n) = r(n) \exp(\pm ia_n) \cdot s_{\pm}(n), \quad n \in \mathbb{N}.$$

$$(0.3)$$

<sup>\*</sup>Wydział Matematyki Informatyki i Mechaniki Uniwersytet Warszawski ul. Banacha 2, 02-097 Warszawa, Poland; mmoszyns@mimuw.edu.pl

In this formula r is the positive *explicit modulus*, a — the real *explicit phase*,  $s_{\pm}$  are the complex *implicit terms* with some explicit "convergence properties", for instance:  $s_{\pm}(n) \longrightarrow 1$  or  $s_{\pm}(n) = p_{\pm}(n) + o(1)$ , with  $p_{\pm}$  being explicitly computable non-zero periodic sequences. In particular, such situation seems to be typical for the so-called *Jordan box case* (or *critical case*) (— see e. g. [3] for the definition). In many examples  $r(n) := n^{-b}$  with  $b \leq \frac{1}{2}$ .

It is proved in [11] that such a form of a base of  $Sol(\lambda)$  guarantees non-existence of subordinated solutions of (0.2), and consequently, by subordination theory ([2, 10]), this gives the absolute continuity of J on the appropriate interval of  $\mathbb{R}$ .

According to my knowledge, in the existing literature dealing with asymptotics of some base vectors  $u_+, u_-$  of Sol( $\lambda$ ) with the general form 0.3 (in the self-adjoint case), we can find only the explicit phase sequences a being "weakly increasing", i.e.,  $(\Delta a)(n) \longrightarrow 0$  or  $a(n) = n^{\alpha}(c + o(1))$  with c > 0 and  $0 < \alpha < 1$ ., if we limit ourselves to all  $\lambda$ -s from some non-empty open intervals of  $\mathbb{R}$ .

## Open problem

The problem is to construct such a self-adjoint Jacobi operator (by finding explicit formulae on its weights  $\{w_n\}_{n\geq 1}$  and diagonals  $\{q_n\}_{n\geq 1}$ ) for which the asymptotic formula (0.3) of some base vectors  $u_+, u_-$  of Sol( $\lambda$ ) holds, with the "strongly increasing" phase sequences a for all  $\lambda$ -s from a non-empty open interval  $A \subset \mathbb{R}$ . More precisely, for any  $\lambda \in A$  we would like (0.3) with the following conditions to be satisfied:

- 1. r positive sequence, a real sequence
- 2.  $(\Delta a)(n) \longrightarrow +\infty$  or  $a(n) = n^{\alpha}(c + o(1))$  with c > 0 and  $\alpha > 1$
- 3.  $s_{\pm}(n) = p_{\pm}(n) + o(1)$ , with  $p_{\pm}$  being explicitly computable non-zero periodic sequences (e. g.,  $p_{\pm} \equiv 1$ , if possible),

where  $r, a, c, p_{\pm}$  can depend on  $\lambda \in A$ .

## References

- D. Damanik and S. Naboko, Unbounded Jacobi matrices at critical coupling, J. Approx. Theory 145 (2007) no. 2, 221-236.
- [2] D. J. Gilbert and D. B. Pearson, On subordinacy and analysis of the spectrum of one-dimensional Schrdinger operators, J. Math. Anal. Appl. 128 (1987), no. 1, 30-56.
- [3] J. Janas, The asymptotic analysis of generalized eigenvectors of some Jacobi operators. Jordan box case., J. Difference Equ. Appl. **12** (2006) no. 6, 597-618.
- [4] J. Janas, Asymptotic of solutions of some linear difference equations and applications to unbounded Jacobi matrices in Operator theory live, Theta Ser. Adv. Math. bf 12 (2010), 81-88.
- [5] J. Janas and M. Moszyński, Spectral properties of Jacobi matrices by asymptotic analysis, Journal of Approximation Theory 120 (2003), 309–336.

- [6] J. Janas and M. Moszyński, *Spectral analysis of unbounded Jacobi operators* with oscillating entries, to be published in Studia Mathematica.
- [7] J. Janas and S. Naboko, Asymptotics of generalized eigenvectors for unbounded Jacobi matrices with power-like weights, Pauli matrices, commutation relations and Cesaro averaging, Oper. Th. Advan. Appl., 117 (2000), 165–186.
- [8] J. Janas and S. Naboko, Spectral properties of selfadjoint Jacobi matrices coming from birth and death processes in Recent advances in operator theory and related topics (Szeged, 1999), Oper. Theory Adv. Appl., Vol. 127, Birkhäuser, Basel, 2001, 387397.
- [9] J. Janas, S. Naboko and E. Sheronova, Asymptotic behaviour of generalized eigenvectors of Jacobi matrices in the critical ("double root") case Z. Anal. Anwend. 28 (2009) no. 4, 411-430.
- [10] S. Khan and D. B. Pearson, Subordinacy and spectral theory for infinite matrices, Helv. Phys. Acta 65 (1992) no. 4, 505–527.
- [11] W. Moszyski, Non-existence of subordinate solutions for Jacobi operators in some critical cases, submitted.
- [12] W. Motyka, The asymptotic analysis of a class of self-adjoint second-order difference equations: Jordan box case, Glasgow Math. J. 51 (2009), 109-125.
- [13] W. Motyka, Analiza spektralna wybranych klas nieograniczonych macierzy Jacobiego, unpublished work (2010, in Polish).
- [14] S. Naboko and S. Simonov, Spectral analysis of a class of Hermitian Jacobi matrices in a critical (double root) hyperbolic case, Proc. Edinb. Math. Soc.
  (2) 53 (2010), no. 1, 239-254.
- [15] S. Simonov, An example of spectral phase transition phenomenon in a class of Jacobi matrices with periodically modulated weights in Operator theory, analysis and mathematical physics, Operator Theory: Advances and Applications Vol. 174, Birkhäuser 2007, 187–203.