

# Spectrum of random quantum channels

More precisely: Spectral gap of random quantum channels

Based on joint works with:

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Mathematical aspects of the physics with non-self-adjoint operators

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- 1 Motivation: classical and quantum expanders
- 2 Random constructions of expanders

## Classical expanders

$G$  a (directed or undirected)  $d$ -biregular graph on  $n$  vertices.

↳  $d$  incoming and  $d$  outgoing edges at each vertex

$A$  its (normalized) adjacency matrix, i.e. the  $n \times n$  matrix s.t.  $A_{kl} = e(l \rightarrow k)/d$  for all  $1 \leq k, l \leq n$ .  
number of edges from vertex  $l$  to vertex  $k$  ↵

$\lambda_1(A), \dots, \lambda_n(A)$  eigenvalues of  $A$ , ordered s.t.  $|\lambda_1(A)| \geq \dots \geq |\lambda_n(A)|$ .

$G$  biregular  $\Rightarrow \lambda_1(A) = 1$  with associated eigenvector the uniform probability  $u = (1/n, \dots, 1/n)$ .  
The *spectral expansion parameter* of  $G$  is  $\lambda(G) := |\lambda_2(A)|$ .

**Observation:**  $\lambda(G) = |\lambda_1(A - J)|$ , where  $J$  is the adjacency matrix of the *complete graph* on  $n$  vertices, i.e. the matrix whose entries are all equal to  $1/n$ .

$\rightarrow \lambda(G)$  is a distance measure between  $G$  and the complete graph.

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### Definition [Classical expander]

A  $d$ -biregular graph  $G$  on  $n$  vertices is an *expander* if it is sparse (i.e.  $d \ll n$ ) and spectrally expanding (i.e.  $\lambda(G) \ll 1$ ).

$\rightarrow G$  is both 'economical' and 'resembling' the complete graph.

For instance, a random walk supported on  $G$  converges fast to equilibrium.

Indeed, for any probability  $p$  on  $\{1, \dots, n\}$ ,  $\forall q \in \mathbf{N}$ ,  $\|A^q p - u\|_1 \leq \sqrt{n} \|A^q p - u\|_2 \leq \sqrt{n} \lambda(G)^q$ .  
exponential convergence, at rate  $|\log \lambda(G)|$  ↙

# Quantum analogue of the transition matrix associated to a biregular graph

Classical - Quantum correspondence:

- $p \in \mathbf{R}^n$  probability vector  $\iff \rho \in \mathcal{M}_n(\mathbf{C})$  density operator.  
↳ self-adjoint positive semidefinite trace 1 operator
- $A : \mathbf{R}^n \rightarrow \mathbf{R}^n$  transition matrix  $\iff \Phi : \mathcal{M}_n(\mathbf{C}) \rightarrow \mathcal{M}_n(\mathbf{C})$  quantum channel.  
↳ completely positive (CP) trace-preserving (TP) linear map
- $G$  biregular:  $A$  leaves  $\mathbf{1}$  invariant  $\iff \Phi$  unital:  $\Phi$  leaves  $I/n$  invariant.  
↳ maximally mixed density operator

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**Question:** What is the analogue of the degree in the quantum setting?

**Answer:** The Kraus rank.

Given a CP map  $\Phi$  on  $\mathcal{M}_n(\mathbf{C})$ , its *Kraus representation* is:

$$\Phi : X \in \mathcal{M}_n(\mathbf{C}) \mapsto \sum_{i=1}^d K_i X K_i^* \in \mathcal{M}_n(\mathbf{C}), \text{ where } K_1, \dots, K_d \in \mathcal{M}_n(\mathbf{C}). \quad (\star)$$

↳ Kraus operators of  $\Phi$

The minimal  $d$  s.t.  $\Phi$  can be written as  $(\star)$  is the *Kraus rank* of  $\Phi$  (it is always at most  $n^2$ ).

[ Note:  $\Phi$  is TP iff  $\sum_{i=1}^d K_i^* K_i = I$ .  $\Phi$  is unital iff  $\sum_{i=1}^d K_i K_i^* = I$ . ]

Indeed, the degree and the Kraus rank both quantify the 1-iteration spreading:

- $G$  a degree  $d$  graph: If  $|\text{supp}(\rho)| = 1$ , then  $|\text{supp}(A\rho)| \leq d$ .
- $\Phi$  a Kraus rank  $d$  quantum channel: If  $\text{rank}(\rho) = 1$ , then  $\text{rank}(\Phi(\rho)) \leq d$ .

$\Phi$  a Kraus rank  $d$  unital quantum channel on  $\mathcal{M}_n(\mathbf{C})$ .

$\lambda_1(\Phi), \dots, \lambda_{n^2}(\Phi)$  eigenvalues of  $\Phi$ , ordered s.t.  $|\lambda_1(\Phi)| \geq \dots \geq |\lambda_{n^2}(\Phi)|$ .

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The *spectral expansion parameter* of  $\Phi$  is  $\lambda(\Phi) := |\lambda_2(\Phi)|$ .

**Observation:**  $\lambda(\Phi) = |\lambda_1(\Phi - \Pi)|$ , where  $\Pi$  is the *maximally mixing channel* on  $\mathcal{M}_n(\mathbf{C})$ , i.e.

$\Pi : X \in \mathcal{M}_n(\mathbf{C}) \mapsto \text{Tr}(X) I/n \in \mathcal{M}_n(\mathbf{C})$ .

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## Quantum expanders

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For instance, the dynamics associated to  $\Phi$  converges fast to equilibrium.

Indeed, for any state  $\rho$  on  $\mathbf{C}^n$ ,  $\forall q \in \mathbf{N}$ ,  $\|\Phi^q(\rho) - I/n\|_1 \leq \sqrt{n} \|\Phi^q(\rho) - I/n\|_2 \leq \sqrt{n} \lambda(\Phi)^q$ .  
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## Constructions of optimal classical expanders

**Fact:** For any (undirected)  $d$ -regular graph  $G$  on  $n$  vertices,  $\lambda(G) \geq 2\sqrt{d-1}/d - o_n(1)$ .

→  $G$  is called a *Ramanujan graph* if it is an optimal expander, i.e.  $\lambda(G) \leq 2\sqrt{d-1}/d$ .

**Question:** Do Ramanujan graphs exist?

- 1 Explicit constructions of exactly Ramanujan graphs only for  $d = p^m + 1$ ,  $p$  prime.
- 2 Random constructions of almost Ramanujan graphs for all  $d$ .
- 3 Existence of exactly Ramanujan graphs for all  $d$ .

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In fact, for large  $n$ , almost all regular graphs are almost Ramanujan:

**Theorem [Uniform random regular graph (Friedman, Bordenave)]**

Fix  $d \in \mathbf{N}$ . Let  $G$  be uniformly distributed on the set of  $d$ -regular graphs on  $n$  vertices.

Then, for all  $\varepsilon > 0$ ,  $\mathbf{P}\left(\lambda(G) \leq \frac{2\sqrt{d-1}}{d} + \varepsilon\right) = 1 - o_n(1)$ .

**Remarks:** ↗ permutation model

- First proven for a simpler model of random regular graphs: for  $d$  even, pick  $\sigma_1, \dots, \sigma_{d/2} \in \mathcal{S}_n$  independent uniformly distributed and let  $G$  have edges  $\{(k, \sigma_i(k)), (k, \sigma_i^{-1}(k))\}_{1 \leq k \leq n, 1 \leq i \leq d/2}$ .
- Result remains true for  $d_n$  growing with  $n$ , up to a constant multiplicative factor:

$\mathbf{P}(\lambda(G) \leq C/\sqrt{d_n} + \varepsilon) = 1 - o_n(1)$ .

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**Fact:** For any Kraus rank  $d$  unital quantum channel  $\Phi$  on  $\mathcal{M}_n(\mathbf{C})$ ,  $\lambda(\Phi) \geq c/\sqrt{d} - o_n(1)$ .

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**Question:** How to sample a unital quantum channel randomly?

**Idea:** Pick random Kraus operators  $K_1, \dots, K_d \in \mathcal{M}_n(\mathbf{C})$ , under the constraint 
$$\begin{cases} \sum_{i=1}^d K_i^* K_i = I \\ \sum_{i=1}^d K_i K_i^* = I \end{cases} .$$

Let  $\Phi : X \in \mathcal{M}_n(\mathbf{C}) \mapsto \sum_{i=1}^d K_i X K_i^* \in \mathcal{M}_n(\mathbf{C})$  be the associated random unital quantum channel.

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**Theorem [Independent paired Haar unitaries as Kraus operators (Hastings, Pisier)]**

Fix  $d \in \mathbf{N}$  even. Pick  $U_1, \dots, U_{d/2} \in \mathcal{M}_n(\mathbf{C})$  independent Haar unitaries. Let  $K_i = U_i/\sqrt{d}$ ,  $1 \leq i \leq d/2$ . The random CP map  $\Phi$  associated to the  $K_i$ 's,  $K_i^*$ 's is TP and unital by construction.

Then, for all  $\varepsilon > 0$ ,  $\mathbf{P} \left( \lambda(\Phi) \leq \frac{2\sqrt{d-1}}{d} + \varepsilon \right) = 1 - o_n(1)$ .

**Remarks:**

- Optimal constant for self-adjoint Kraus rank  $d$  unital quantum channels on  $\mathcal{M}_n(\mathbf{C})$ .
- Same result, up to a constant multiplicative factor, for  $d$  independent unitary Kraus operators.

## More random examples of optimal quantum expanders

**Question:** Can the previous result be extended to other (non self-adjoint) random models? And to a regime where  $d$  is not fixed but grows with  $n$ ?

**Difficulty:** Imposing that  $\Phi$  is both TP and unital is very constraining.

However, the definition of expander can be generalized to 'close to unital' quantum channels, whose fixed point  $\rho_*$  has a large entropy:  $S(\rho_*) \geq \alpha S(I/n) = \alpha \log n$ , for some  $0 < \alpha < 1$ .

[ Note: We now have  $\lambda(\Phi) = |\lambda_1(\Phi - \Pi_{\rho_*})|$ , where  $\Pi_{\rho_*} : X \in \mathcal{M}_n(\mathbf{C}) \mapsto \text{Tr}(X)\rho_* \in \mathcal{M}_n(\mathbf{C})$ . ]

Classical analogy: Relaxation of the strict biregularity condition, e.g. to study Erdős-Rényi graphs.

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### Theorem [Independent Gaussians as Kraus operators (Lancien/Pérez-García)]

Pick  $G_1, \dots, G_d \in \mathcal{M}_n(\mathbf{C})$  independent Gaussian matrices. Let  $\tilde{K}_i = G_i/\sqrt{d}$ ,  $1 \leq i \leq d$ .

↳ i.i.d. Gaussian entries (mean 0 and variance  $1/n$ )

The random CP map  $\tilde{\Phi}$  associated to the  $\tilde{K}_i$ 's is not TP but almost:  $\mathbf{P}(\Sigma := \sum_{i=1}^d \tilde{K}_i^* \tilde{K}_i \simeq I) \simeq 1$ .

With  $K_i = \tilde{K}_i \Sigma^{-1/2}$ ,  $1 \leq i \leq d$ , the random CP map  $\Phi$  associated to the  $K_i$ 's is TP by construction.

Then,  $\mathbf{P}\left(S(\rho_*) \geq \log n - \frac{C'}{\sqrt{d}} \text{ and } \lambda(\Phi) \leq \frac{C}{\sqrt{d}}\right) \geq 1 - e^{-cn}$ , for  $C, C', c > 0$  constants.

**Remark:** Other model that was proven to be a.s. an optimal expander as  $n$  grows (for  $d$  fixed): blocks of a Haar isometry  $V : \mathbf{C}^n \hookrightarrow \mathbf{C}^n \otimes \mathbf{C}^d$  as Kraus operators (González-Guillén/Junge/Nechita).

## How much can the previous examples be generalized?

### Theorem [Independent general random matrices as Kraus operators (Lancien/Youssef)]

- 1 Let  $A \in \mathcal{M}_n(\mathbf{R})$  be a bistochastic matrix s.t.  $|\lambda_2(A)| \leq \frac{C}{\sqrt{d}}$ , with  $d \geq (\log n)^4$ .  
E.g.  $A$  the adjacency matrix of a  $d$ -biregular graph  $G$  on  $n$  vertices s.t.  $\lambda(G) \leq \frac{C}{\sqrt{d}}$ .
- 2 Let  $W \in \mathcal{M}_n(\mathbf{C})$  be a random matrix with independent centered entries, s.t.  
 $\forall 1 \leq k, l \leq n, \mathbf{E}|W_{kl}|^2 = A_{kl}$  and  $(\mathbf{E}|W_{kl}|^{2p})^{1/p} \leq C' p^\beta A_{kl}, p \in \mathbf{N}$ .  
[  $\beta = 0$ : bounded entries.  $\beta = 1$ : sub-Gaussian entries.  $\beta = 2$ : sub-exponential entries. ]
- 3 Pick  $W_1, \dots, W_d \in \mathcal{M}_n(\mathbf{C})$  independent copies of  $W$ . Let  $K_i = \frac{W_i}{\sqrt{d}}, 1 \leq i \leq d$ , and  $\Phi$  be the random CP map with the  $K_i$ 's as Kraus operators.

Then,  $\Phi$  is on average TP and unital, and s.t.  $\mathbf{E}\lambda(\Phi) \leq \frac{C''}{\sqrt{d}}$ .

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**Interest:** Constructing a random optimal quantum expander from any optimal classical expander.

→ Optimal quantum expanders can be obtained from random Kraus operators which are sparse and whose entries have any distribution following the moments' growth assumption.

**Remark:** With more assumptions, one could compute variances and show that conclusions hold not only on average but also with positive / high probability.

## Proof idea to show that $\mathbf{E}\lambda(\Phi) \leq C/\sqrt{d}$

**Goal:** In all cases, we want to upper bound  $\mathbf{E}|\lambda_2(\Phi)| = \mathbf{E}|\lambda_1(\Phi - \Pi_{\rho^*})|$ .

First step: Upper bound  $\mathbf{E}|\lambda_1(\Phi - \mathbf{E}(\Phi))|$  (and then show that  $\mathbf{E}(\Phi)$  is close to  $\Pi_{\rho^*}$ ).

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• Observation 1:  $|\lambda_1(\Psi)| \leq s_1(\Psi) = \|\Psi\|_\infty$ .

• Observation 2:  $\|\Psi\|_\infty = \|M_\Psi\|_\infty$ , where for  $\Psi : X \mapsto \sum_{i=1}^d K_i X L_i^*$ ,  $M_\Psi = \sum_{i=1}^d K_i \otimes \bar{L}_i$ .

[ Identification  $\Psi : \mathcal{M}_n(\mathbf{C}) \rightarrow \mathcal{M}_n(\mathbf{C}) \equiv M_\Psi : \mathbf{C}^n \otimes \mathbf{C}^n \rightarrow \mathbf{C}^n \otimes \mathbf{C}^n$  preserves the operator norm. ]

→ We want to upper bound  $\mathbf{E}\| \underbrace{M_\Phi - \mathbf{E}(M_\Phi)}_{=: X} \|_\infty$ , where  $M_\Phi = \sum_{i=1}^d K_i \otimes \bar{K}_i$  with the  $K_i$ 's random.

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↳ Haar unitaries, Gaussians, blocks of Haar isometry

• For concrete models, this can be done by a moments' method:

By Jensen's inequality, we have:  $\forall p \in \mathbf{N}$ ,  $\mathbf{E}\|X\|_\infty \leq \mathbf{E}\|X\|_p \leq (\mathbf{E}\text{Tr}|X|^p)^{1/p}$ .

The term on the r.h.s. can be estimated and provides a good upper bound for  $p \simeq n^\gamma$ .

↳ by Weingarten or Wick calculus

• For the general case, we use recent results on estimating the operator norm of random matrices with dependencies and non-homogeneity (Bandeira/Boedihardjo/van Handel, Brailovskaya/van Handel):

Setting  $X = \sum_{i=1}^d Z_i$ , with  $Z_i := K_i \otimes \bar{K}_i - \mathbf{E}(K_i \otimes \bar{K}_i)$ ,  $1 \leq i \leq d$ , we have for  $p \simeq \log n$ ,

$$\mathbf{E}\|X\|_\infty \lesssim \|\mathbf{E}(X X^*)\|_\infty^{1/2} + \|\mathbf{E}(X^* X)\|_\infty^{1/2} + (\log n)^{3/2} \|\mathbf{Cov}(X)\|_\infty^{1/2} + (\log n)^2 \left( \sum_{i=1}^d \mathbf{E}\text{Tr}|Z_i|^p \right)^{1/p}.$$

*Matrix product states (MPS)* form a subset of *many-body quantum states*.

They are particularly useful because:

- They admit an *efficient description*: number of parameters that scales linearly rather than exponentially with the number of subsystems.
- They are *good approximations of several 'physically relevant' states*, such as ground states of gapped local Hamiltonians on 1D systems (Hastings, Landau/Vazirani/Vidick).
  - ↳ composed of terms which act non-trivially only on nearby sites
  - ↳ spectral gap lower bounded by a constant independent of  $M$

# Implications for typical decay of correlations in many-body quantum systems

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↳ spectral gap lower bounded by a constant independent of  $M$   
↳ composed of terms which act non-trivially only on nearby sites

with the distance separating the sites ←  
between observables measured on distinct sites ←

**Fact:** Random (translation-invariant) MPS typically have correlations that decay exponentially fast, with a *small correlation length* (Lancien/Pérez-García).

**Proof strategy:** Observe that the correlation length is given by  $1/|\log \lambda(\Phi)|$  for  $\Phi$  a random quantum channel associated to the random MPS (its so-called *transfer operator*).

## Some perspectives

- What about *explicit constructions* of optimal quantum expanders?  
Important for applications (cryptography, error correction, condensed matter physics, etc)

Previously known constructions required a large amount of randomness.

First step towards *derandomization*: sparse matrices with  $\pm 1$  entries as Kraus operators.

Other direction: unitary Kraus operators sampled according to a 'simple' measure that 'resembles' the uniform one, e.g. an approximate  $t$ -design (work in progress).

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- What about identifying the *full spectral distribution* of random quantum channels?

Known: for a random Kraus rank  $d$  quantum channel  $\Phi : \mathcal{M}_n(\mathbf{C}) \rightarrow \mathcal{M}_n(\mathbf{C})$ , the eigenvalues of  $\Phi - \Pi_{\rho_*}$  are typically inside a disc of radius  $C/\sqrt{d}$  for large  $n$ .

But what is the exact radius and are they uniformly distributed inside this disc?

Answer in the self-adjoint case: asymptotically (as  $n, d \rightarrow \infty$ ) the spectrum of  $\sqrt{d}(\Phi - \Pi_{\rho_*})$  follows a semi-circular distribution (Lancien/Oliveira Santos/Youssef).

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- Do the results about the typical spectral gap of random quantum channels remain true when we impose *extra symmetries* on the model?
- What about looking at other, related, notions of expansions, such as *geometric* ones (Bannink/Briët/Labib/Maassen) or *linear-algebraic* ones (Li/Qiao/Wigderson/Wigderson/Zhang)?

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